UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

1933

7590

11/13/2009

FRISHAUF, HOLTZ, GOODMAN & CHICK, PC 220 Fifth Avenue 16TH Floor NEW YORK, NY 10001-7708

EXAMINER					
LE, DAVID D					
ART UNIT PAPER NUMBER					

3655 DATE MAILED: 11/13/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/581.630	11/17/2006	Toshihiko Fukasawa	06366/LH	5392

TITLE OF INVENTION: VEHICLE MOUNTED WITH CONTINUOUS STEPLESS SPEED CHANGER

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	02/16/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where m

appropriate. All further indicated unless correct maintenance fee notifica	correspondence including debelow or directed other trions.	ng the Patent, advance onerwise in Block 1, by (	rders and notification of a) specifying a new corre	maintenance fees spondence address	will be ; and/or	mailed to the current of (b) indicating a separ	correspondence address as ate "FEE ADDRESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)  1933 7590 11/13/2009  FRISHAUF, HOLTZ, GOODMAN & CHICK, PC 220 Fifth Avenue 16TH Floor			Fee par	Note: A certificate of mailing can only be used for domestic mailings of th Fee(s) Transmittal. This certificate cannot be used for any other accompanyin papers. Each additional paper, such as an assignment or formal drawing, mushave its own certificate of mailing or transmission.			
				Cei	rtificate	e of Mailing or Transn	nission deposited with the United class mail in an envelope above, or being facsimile te indicated below.
NEW YORK, N	IY 10001-7708						(Depositor's name)
							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	₹	ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
10/581,630	11/17/2006		Toshihiko Fukasawa			06366/LH	5392
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nonprovisional	NO	\$1510	\$300	\$0		\$1810	02/16/2010
EXAM	IINER	ART UNIT	CLASS-SUBCLASS	_			
LE, DA	AVID D	3655	477-052000				
"Fee Address" ind PTO/SB/47; Rev 03-0 Number is required.  3. ASSIGNEE NAME A PLEASE NOTE: Unirecordation as set fort	ND RESIDENCE DATA less an assignee is ident th in 37 CFR 3.11. Comp	"Indication form ted. Use of a Customer  A TO BE PRINTED ON ified below, no assignee	(1) the names of up to or agents OR, alternat: (2) the name of a sing registered attorney or 2 registered patent att listed, no name will be THE PATENT (print or ty data will appear on the pT a substitute for filing an	ively, le firm (having as agent) and the nan orneys or agents. If printed.  pe)	a memb nes of u no nan	p to ge is 3	cument has been filed for
4a. The following fee(s) ☐ Issue Fee	riate assignee category or are submitted:	4	b. Payment of Fee(s): (Ple	Individual Case first reapply a	orporati	ion or other private growiously paid issue fee s	up entity Government
□ Publication Fee (No small entity discount permitted) □ Advance Order - # of Copies The Director is hereby authorized to characteristic overpayment, to Deposit Account Number 1.				rge the	required fee(s), any def	iciency, or credit any extra copy of this form).	
5. Change in Entity Sta	n <b>tus</b> (from status indicated as SMALL ENTITY statu	*	☐ b. Applicant is no lor	nger claiming SMA	LL EN	FITY status. See 37 CF	R 1 27(g)(2)
NOTE: The Issue Fee an	d Publication Fee (if rea		d from anyone other than	-			e assignee or other party in
				Data			
This collection of inform	nation is required by 37 (	FR 1 311. The information	on is required to obtain or	retain a benefit by	the pub	lic which is to file (and	by the USPTO to process)
an application. Confiden submitting the complete this form and/or suggest Box 1450, Alexandria, V Alexandria, Virginia 223	tiality is governed by 35 dapplication form to the ions for reducing this bu. Firginia 22313-1450. DC	U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the NOT SEND FEES OR	1.14. This collection is es depending upon the indi the Chief Information Offic COMPLETED FORMS T	timated to take 12 vidual case. Any coer, U.S. Patent and O THIS ADDRES	minutes omment Traden S. SEN	s to complete, including is on the amount of tim nark Office, U.S. Depa D TO: Commissioner f	y gathering, preparing, and the you require to complete thment of Commerce, P.O. or Patents, P.O. Box 1450,

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/581,630	11/17/2006	Toshihiko Fukasawa	06366/LH	5392
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FRISHAUF, HO	FRISHAUF, HOLTZ, GOODMAN & CHICK, PC			VID D
220 Fifth Avenue			ART UNIT	PAPER NUMBER
16TH Floor NEW YORK, NY 10001-7708			3655 DATE MAILED: 11/13/200	9

# **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 426 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 426 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)
	10/581,630	FUKASAWA ET AL.
Notice of Allowability	Examiner	Art Unit
	David D. Le	3655
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313 1.   This communication is responsive to after final amendment.	(OR REMAINS) CLOSED or other appropriate comr IGHTS. This application is and MPEP 1308.	in this application. If not included nunication will be mailed in due course. <b>THIS</b> subject to withdrawal from issue at the initiative
	t, mod on do Gotoso, Edda	•
<ul> <li>2.  The allowed claim(s) is/are 5-9.</li> <li>3.  Acknowledgment is made of a claim for foreign priority ur</li> <li>a)  All b)  Some* c)  None of the:</li> <li>1.  Certified copies of the priority documents have</li> <li>2.  Certified copies of the priority documents have</li> </ul>	e been received.	
3. ☑ Copies of the certified copies of the priority do		
International Bureau (PCT Rule 17.2(a)).		<b>5</b>
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  4.   A SUBSTITUTE OATH OR DECLARATION must be subm	IENT of this application.	
INFORMAL PATENT APPLICATION (PTO-152) which give 5.  CORRECTED DRAWINGS (as "replacement sheets") must	, , -	or declaration is deficient.
(a) $\square$ including changes required by the Notice of Draftspers	son's Patent Drawing Revi	ew ( PTO-948) attached
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date		
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1		
each sheet. Replacement sheet(s) should be labeled as such in t		
<ol> <li>DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT</li> </ol>		
Attachment(s)  1. ☐ Notice of References Cited (PTO-892)  2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)  3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	6.	nformal Patent Application Summary (PTO-413), ./Mail Date s Amendment/Comment s Statement of Reasons for Allowance
	9.	<del></del> ·

Application/Control Number: 10/581,630 Page 2

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## **DETAILED ACTION**

1. This is the third Office action on the merits of Application No. 10/581,630, filed on 17 November 2006. Claims 5-9 are pending.

#### **Documents**

- 2. The following documents have been received and filed as part of the patent application:
  - Copy of Foreign Priority Document, received on 06/02/06
  - Information Disclosure Statement, received on 06/02/06
  - Declaration and Power of Attorney, received on 11/17/06
  - Information Disclosure Statement, received on 11/17/06
  - Information Disclosure Statement, received on 07/22/08

# Allowable Subject Matter

- 3. Claims 5-9 are allowed.
- 4. The following is an examiner's statement of reasons for allowance:

### Claim 5:

The prior art of record fails to show or render obvious a vehicle comprising a transmission as recited in claim 5; specifically, wherein the transmission includes a second mode in which the speed change ratio is switched by the speed change operation section in fractional stages that are more fractionally set in advance that the first mode.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably

Art Unit: 3655

accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

5. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to David D. Le whose telephone number is 571-272-7092. The

examiner can normally be reached on Mon-Fri (0900-1730).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, David D. Le can be reached on 571-272-7092. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would

like assistance from a USPTO Customer Service Representative or access to the automated

information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/David D. Le/

Primary Examiner, Art Unit 3655

11/07/2009

ddl